

**Title 36**  
**MARYLAND STATE LOTTERY AND GAMING CONTROL AGENCY**  
**Subtitle 03 GAMING PROVISIONS**  
**Chapter 10 Video Lottery Facility Minimum Internal Control Standards**

Authority: Family Law Article, §10-113.1; State Government Article, §§~~9-1A-01(u)~~9-1A-01,  
9-1A-02, 9-1A-04, and 9-1A-24; Annotated Code of Maryland

**.07 Annual Audit and Other Regulatory Reports.**

A. A facility operator shall cause its annual financial statements to be audited in accordance with generally accepted auditing standards by an independent certified public accountant.

~~[B. The annual financial statements shall be prepared on a comparative basis for the current and prior fiscal year and present financial position and results of operations in conformity with generally accepted accounting principles in the United States.]~~

B. The annual financial statements shall be:

(1) Prepared on a comparative basis for the current and prior fiscal year and present financial position and results of operations in conformity with generally accepted accounting principles in the United States; and

(2) If the revenue and assets of the Maryland facility operator operations are less than seventy five percent of the combined total of the operator's parent, Maryland specific audited annual financial statements of the facility operator revenue and assets.

C. The audited financial statements shall include a footnote reconciling and explaining any difference between the financial statements included in any report submitted to the Commission under Regulation .06 of this chapter and the audited financial statements.

D. – R. (text unchanged)

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**.12 Surveillance Department Operating Procedures.**

A. – B. (text unchanged)

C. A facility operator's surveillance department operating procedures shall, at a minimum, require:

(1) – (2) (text unchanged)

(3) A surveillance incident log:

(a) Maintained by monitor room employees in:

(i) A book with bound numbered pages that cannot be readily removed; ~~or~~

(ii) An electronic format equipped with software that prevents modification of an entry after it has been initially entered into the system; ~~and~~ or

(iii) An electronic format equipped with software that documents changes made by each user; and

(b) (text unchanged)

(4) – (7) (text unchanged)

D. – F. (text unchanged)

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**.14 Security Department Operating Procedures.**

A. – B. (text unchanged)

C. A facility operator's security department operating procedures shall, at a minimum, include:

(1) – (3) (text unchanged)

(4) A requirement that a facility operator notice the Commission on detection of:

(a) An individual engaged in, attempting to engage in, or suspected of cheating, theft, embezzlement, or other illegal activities;

(b) An individual possessing a firearm, electronic control device, dangerous weapon, or other device or object prohibited under Regulation [~~.49~~ .48] of this chapter; or

(c) An individual who is:

(i) Under the age of 21 years;

(ii) Intoxicated;

(iii) Mandatorily excluded in accordance with State Government Article, §9-1A-24(d), Annotated Code of Maryland; or

(iv) Voluntarily excluded in accordance with State Government Article, §9-1A-24(e), Annotated Code of Maryland.

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**.20 Checks Accepted from a Player.**

A. – J. (text unchanged)

K. On a single gaming day, a facility operator may accept a check or multiple checks which:

(1) In the aggregate do not exceed~~[\$250,000]~~ \$500,000; and

(2) (text unchanged)

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**.28 Counter Check Substitution, Consolidation, and Redemption.**

A. – B. (text unchanged)

C. If a personal check is accepted in an amount less than or equal to the amount of a counter check being partially or fully redeemed, the ~~[\$30,000]~~ \$50,000 limitation on acceptance of personal checks in Regulation .20F(2) of this chapter does not apply.

D. – L. (text unchanged)

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**.38 Jackpot Payout.**

A. – G. (text unchanged)

H. Electronic Jackpot or Credit Meter Payout System.

(1) A facility operator may use an electronic jackpot or credit meter payout system approved by the Commission to process jackpot or credit meter payout transactions.

(2) A facility operator may process jackpot or credit meter payouts using a portable device or other method approved by the Commission.

(3) The electronic jackpot or credit meter payout system shall be capable of maintaining independently, or in conjunction with another computer system:

(a) A record of each IRS Form W-2G in accordance with §C of this regulation;

(b) A record of each jackpot or credit meter payout document in accordance with §G of this regulation;

(c) All signatures required by this regulation;

(d) A record of a voided transaction and the reason for the void; and

(e) Any other information the Agency Staff requires.

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**ALL NEW REGULATION**

.52 Promotional Offers and Tournaments.

A. A facility operator shall submit terms and conditions of each promotion or tournament to the Commission no later than 14 days before the planned start of the promotion or tournament.

B. Submission shall include:

- (1) A description of the promotion or tournament;
- (2) The dates and times of the promotion or tournament;
- (3) The participation requirements for the promotion or tournament;
- (4) If applicable, the procedure for a claim or to redeem the promotional offer;
- (5) Registration procedures;
- (6) Limitations on participation;
- (7) The gambling assistance message required by COMAR 36.03.06.03; and
- (8) Any other information the Commission requires.